



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTS

In re Patent Application of:

BARBEE, *et al.*

Serial No.: 10/092,128

Filed: March 6, 2002

For: **PROCESS FOR PREPARING HIGH
BARRIER NANOCOMPOSITES**

Group Art Unit: 1711

Examiner: Rajguru

Atty. Docket No. USC3CIPCON2

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

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Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant hereby discloses the references listed on the attached Form
PTO-1449 to the United States Patent and Trademark Office for the above-identified patent
application. A copy of each of the references has not been submitted because the applicant
believes that copies of these references have already been submitted in related and co-pending
applications. Should the Examiner wish to have the Applicant provide copies of the art,
Applicant can so provide.

01/23/2003 CVO111 00000096 10092128

01 FC:1806


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The submission of these references shall not serve as an indication that a search has been conducted on the claimed subject matter, nor shall the submission be deemed an admission that these references are, or are considered to be, material to the patentability of the above-identified patent application.

A check in the amount of \$180.00 is enclosed to cover the fee due under 37 C.F.R. § 1.17(p). Applicant believes that no other fee is due, however, if an additional fee is deemed to be due the Commissioner is hereby authorized to charge such fee to Deposit Account No. 20-1507.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 10

Complete If Known

Application Number	10/092,128
Filing Date	03/06/2002
First Named Inventor	BARBEE et al.
Group Art Unit	1711
Examiner Name	RAJGURU
Attorney Docket Number	USC3CIPCON2

U.S. PATENT DOCUMENTS

Examiner Initials [*]	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A01	6,447,860		Mueller et al.	09-10-2002	
	A02	6,417,262		Turner et al.	07-09-2002	
	A03	6,407,155		Quian et al.	06-18-2002	
	A04	6,403,231		Mueller et al.	06-11-2002	
	A05	6,391,449		Lan et al.	05-21-2002	
	A06	6,384,121		Barbee et al.	05-07-2002	
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	A11	6,156,835		Anderson et al.	12-05-2000	
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	A20	6,036,765		Farrow et al.	03-14-2000	

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		Office ³	Number ⁴	Kind Code ² (if known)				
	A21	EP	0940430			09-08-1999		
	A22	WO	99/38914			08-05-1999		
	A23	WO	99/15432			04-01-1999		
	A24	WO	98/53000			11-26-1998		
	A25	WO	98/29499			07/09/1998		
	A26	JP	10168305			06-23-1998		
	A27	EP	0846723			06-10-1998		
	A28	JP	10133013			05-22-1998		
	A29	JP	10077427			03-24-1998		
	A30	EP	0822163		Serrano et al.	02-04-1998		
Examiner Signature					Date Considered			

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Sheet 2 of 10

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	B1	6,034,163		Barbee et al.	03-07-2000	
	B2	6,017,632		Pinnavaia et al.	01-25-2000	
	B3	5,993,769		Pinnavaia et al.	11-30-1999	
	B4	5,972,448		Frisk et al.	10-26-1999	
	B5	5,952,093		Nichols et al.	09-14-1999	
	B6	5,942,320		Miyake et al.	08-24-1999	
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	B8	5,882,751		Occhiello et al.	03-16-1999	
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	B10	5,849,830		Tipursky et al.	12-15-1998	
	B11	5,830,544		Kersch et al.	11-03-1998	
	B12	5,807,630		Christie et al.	09-15-1998	
	B13	5,780,376		Gonzales et al.	07-14-1998	
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	B21	WO	98/01346			01-15-1998		
	B22	JP	10001608			01-06-1998		
	B23	WO	97/02323			01-23-1997		
	B24	WO	97/44384			11-27-1997		
	B25	WO	97/31973			09-04-1997		
	B26	WO	97/31057			08-28-1997		
	B27	WO	97/30950			08-28-1997		
	B28	JP	9217012			08-19-1997		
	B29	JP	9176461			07-08-1997		
	B30	EP	780340			06-25-1997		

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		Number	Kind Code ² (if known)			
	C01	5,578,672		Beall et al.	11-26-1996	
	C02	5,552,469		Beall et al.	09-03-1996	
	C03	5,530,052		Takekoshi et al.	06-25-1996	
	C04	5,523,045		Kudert et al.	06-04-1996	
	C05	5,514,734		Maxfield et al.	05-07-1996	
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	C07	5,434,000		Konagaya et al.	07-18-1995	
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	C11	5,382,650		Kasowski et al.	01-17-1995	
	C12	5,374,306		Schlegel et al.	12-20-1994	
	C13	5,371,051		Pope et al.	12-06-1994	
	C14	5,340,884		Mills et al.	08-23-1994	
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	C17	5,314,987		Kim et al.	05-24-1994	
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	C19	5,248,720		Deguchi et al.	09-28-1993	
	C20	5,221,507		Beck et al.	06-22-1993	

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
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	C22	EP	0761739			03-12-1997		
	C23	JP	09048908			02-18-1997		
	C24	EP	747451			12-11-1996		
	C25	EP	681990			11-15-1996		
	C26	WO	96/25458			08-22-1996		
	C27	WO	96/08526			03-21-1996		
	C28	EP	0691376			01-10-1996		
	C29	EP	0691212			01-10-1996		
	C30	WO	95/14733			06-01-1995		

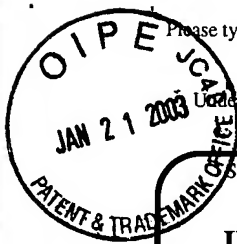
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 10

Complete If Known

Application Number	10/092,128
Filing Date	03/06/2002
First Named Inventor	BARBEE et al.
Group Art Unit	1711
Examiner Name	RAJGURU
Attorney Docket Number	USC3CIPCON2

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		Number	Kind Code ² (if known)			
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	D02	5,164,460		Yano et al.	11-17-1992	
	D03	5,153,061		Cavagna et al.	10-06-1992	
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	D13	4,994,313		Shimizu et al.	02-19-1991	
	D14	4,983,719		Fox et al.	01-08-1991	
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FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	D21	EP	650994			05-03-1995		
	D22	WO	95/06090			03-02-1995		
	D23	JP	7026123			01-27-1995		
	D24	WO	94/29378			12-22-1994		
	D25	WO	94/11430			05-26-1994		
	D26	EP	0590263			04-06-1994		
	D27	WO	93/14922			08-05-1993		
	D28	WO	93/11190			06-10-1993		
	D29	EP	542266			05-19-1993		
	D30	WO	93/04125			03-04-1993		

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	E01	4,777,206		Rittler	10-11-1988	
	E02	4,769,078		Tso	09-06-1988	
	E03	4,742,098		Finlayson et al.	05-03-1988	
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	E08	4,677,158		Tso et al.	06-30-1987	
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	E10	4,646,925		Nohara	03-03-1987	
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	E12	4,595,715		Kuze et al.	06-17-1986	
	E13	4,546,126		Breitenfellner et al.	10-08-1985	
	E14	4,536,425		Hekal	08-20-1985	
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	E17	4,472,538		Kamigaito et al.	09-18-1984	
	E18	4,450,095		Finlayson	05-22-1984	
	E19	4,442,163		Kuhner et al.	04-10-1984	
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	E21	WO	93/04117			03-04-1993		
	E22	EP	459472			12-04-1991		
	E23	EP	398551			11-22-1990		
	E24	EP	295336			12-21-1988		
	E25	DE	3808623			10-06-1988		
	E26	DE	3806548			09-15-1988		
	E27	EP	278403			08-17-1988		
	E28	EP	261430			03-30-1988		
	E29	JP	62073943			04-04-1987		
	E30	EP	0202532			11-26-1986		

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	F01	4,429,079		Shibata et al.	01-31-1984	
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		Office ³	Number ⁴	Kind Code ² (if known)				
	F21	EP	186456			07-02-1986		
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		Application Number	10/092,128		
		Filing Date	03/06/2002		
		First Named Inventor	BARBEE et al.		
		Group Art Unit	1711		
Sheet	7	of	10	Examiner Name	RAJGURU
				Attorney Docket Number	USC3CIPCON2

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		Number	Kind Code ² (if known)			
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	G22	WO	0034378			06-15-2000	
	G23	EP	090787			04-21-1999	
	G24	EP	0822163			02-04-1998	
	G25	EP	0899301			03-03-1999	
	G26	WO	93/04118			03-04-1993	

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	I1	LEBARON et al., "Polymer-Layered Silicate Nanocomposites: an Overview," <i>App. Clay Sci.</i> , 15, 11-29 (1999)	
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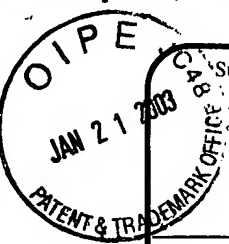
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Group Art Unit	1711
Examiner Name	RAJGURU
Attorney Docket Number	USC3CIPCON2

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	J1	FAHN ET AL., "Reaction Products or Organic Dye Molecules with Acid-Treated Montmorillonite," <i>Clay Minerals</i> , 18, 447-458 (1983)	
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